

Human Enterovirus 71 DNA Vaccine Constructs Containing 5'UTR with Complete Internal Ribosome Entry Site Sequence Stimulated Improved Anti-Human Enterovirus 71 Neutralizing Immune Responses

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ABSTRACT

Recent improvement in the technologies for efficient delivery of DNA vaccines has renewed interest in the DNA-based vaccines. Several DNA-based vaccines against human enterovirus 71 (EV71), the causative agent for hand, foot and mouth disease (HFMD) have been developed. Here we examined the potential of improving the vaccines by inserting the EV71 5' untranslated region (5' UTR) containing the full length internal ribosome entry site (IRES) sequence to the EV71 VP1-based DNA vaccine constructs. Four vaccine constructs designated as 5' UTR-VP1/EGFP, VP1/EGFP, 5' UTR-VP1/pVAX and VP1/pVAX, were designed using the pEGFP-N1 and pVAX-1 expression vectors, respectively. Transfection of Vero cells with the vaccine constructs with the 5'-UTR (5'-UTR-VP1/EGFP and 5' UTR-VP1/pVAX) resulted in higher percentages of cells expressing the recombinant protein in comparison to cells transfected with vectors without the 5'-UTR (67% and 57%, respectively). Higher IgG responses (29%) were obtained from mice immunized with the DNA vaccine construct with the full length 5' UTR. The same group of mice when challenged with live EV71 produced significantly higher neutralizing antibody (NA) titers (>5-fold). These results suggest that insertion of the EV71 5' UTR sequence consisting of the full length IRES to the EV71 DNA vaccine constructs improved the efficacy of the constructs with enhanced elicitation of the neutralizing antibody responses.

KEYWORDS

Human Enterovirus 71; 5'Untranslated Region (5'UTR); Internal Ribosome Entry Site (IRES); DNA Vaccine; Neutralizing Antibodies

1. Introduction

Hand, foot and mouth disease (HFMD) caused by enterovirus 71 (EV71) has been estimated to affect millions of young children worldwide [1]. The disease is usually mild and most are self-limiting [2,3]. In recent years, EV71-associated brainstem encephalitis cases with high fatalities have become more frequent, especially in several Asian countries [3-9].

There are no commercially available vaccine to pre-

vent the infection, however, various strategies have been employed to develop candidate vaccines against EV71 [6, 8,10-14]. The success of vaccination in eradicating poliovirus, a virus belonging to the same family as EV71, strongly suggests that vaccination is likely among the most effective means to prevent and contain EV71 infection. Up to date, few EV71 inactivated vaccines are being evaluated in the clinical trial stage [8,15,16]. While it is possible that the conventional live-attenuated EV71 vac-

cine could prove to be the most effective in stimulating protective immune responses, there are concerns of possible reversion to the infectious form [4,17], similar to that reported for poliovirus vaccine [18-20]. A DNA-based vaccine, hence, is proposed as possible alternative as it could mimic the effects conferred by live-attenuated vaccines without the usage of infectious agents [21-23]. DNA vaccines have been demonstrated to stimulate both Class I major histocompatibility complex (MHC-I) and Class II major histocompatibility complex (MHC-II) responses that allows for the induction of the CD8⁺ and CD4⁺ T cells, respectively [24]. It is expected note peculiarities. For example, the head margin in this template measures that the ability to stimulate the T cell responses would accord long-lasting immunity.

One of the major hurdles of DNA-based vaccine is the inability to induce robust immune responses in large animals and human, and the inefficiency in inducing humoral immune responses [25]. The low cellular uptake efficiency of the DNA-based vaccine and low level of expression from the vector may cause the inefficiency in inducing strong antigen-specific immune responses [26]. Approaches to enhance the efficacy of DNA-based vaccine therefore, are very much needed. The advents of latest technology for the delivery of DNA-based vaccines [27-30] warrant relooking at possible DNA-based vaccines for EV71.

We had previously described the potential of VP1-based DNA vaccines to elicit immune responses against EV71 [23]. The immune responses however, elicited only low level of neutralizing antibody titers. In this study, the EV71 DNA vaccine has been improved by incorporation of complete sequence of EV71 internal ribosome entry site (IRES). The IRES, especially picornaviruses' are widely used in biotechnology applications [31-33]. Numerous studies on IRES of encephalomyocarditis (EMCV), foot-and-mouth virus (FMDV), poliovirus and hepatitis C virus (HCV) have been performed by various groups and these reports showed that the IRESes have helped in enhancing the expression of gene located upstream of the IRES [32,34-39]. However, not much is known about IRES of EV71 except for the report by Lee *et al.* [32] who reported that the EV71 IRES mediated protein expression up to 10- to 15-fold higher than the expression mediated by commonly used EMCV IRES. In this study, we explored the possibility of improving the EV71 DNA vaccine constructs ability to stimulate the neutralizing antibody responses by incorporating the EV71 IRES-containing sequences within the vaccine constructs.

2. Methods

2.1. Virus

Enterovirus 71 strain EV71/9/97/SHA89 [GenBank:

AJ586873] maintained strictly in Vero cells was used for this study. Virus inoculum was prepared by infecting cells and incubating the cells at 37°C until ~70% of the cells showed cytopathic effects (CPE). The supernatant was clarified by centrifugation and used as virus inoculum. Viral RNA was extracted from the inoculum using Tri Reagent (Molecular Research Center, USA) following the manufacturer's protocol. Protein lysate was extracted from the EV71-infected cell pellet and used as antigen for enzyme-linked immunosorbent assay (ELISA).

2.2. Construction of DNA Vaccine Constructs

Four different candidate DNA vaccine constructs were designed. Initially, the VP1 gene region of EV71 isolate S2861/SAR/00 [GenBank:AF376085] was amplified from VP1/pCR[®] Blunt (courtesy of Prof Mary Jane Cardosa, Universiti Malaysia Sarawak) using VP1F and VP1R primer (**Table 1**) with *NheI* and *BamHI* restriction enzyme (RE) sequences included in the forward and reverse primer, respectively. Following amplification, the VP1 gene fragment was digested with the respective REs and ligated into pEGFP-N1 (VP1/EGFP). For cloning into pVAX-1 expression vector, the VP1 gene was amplified using VP1HindF and VP1R (**Table 1**) with *HindIII* and *BamHI* RE sequences included in the forward and reverse primer, respectively. The VP1 gene fragment was digested using *HindIII* and *BamHI* REs (Promega, USA) and ligated with the pVAX-1 vector (VP1/pVAX). The 5' UTR gene region of EV71 isolate EV71/9/97/SHA89 consisting of full IRES sequence was amplified directly from the viral RNA using a two-step RT-PCR. The cDNA was generated using EVR944 primer (**Table 1**) followed by amplification using IRESF and IRESR primer set (*NheI* RE sequence included at both forward and reverse primer), to generate 5' UTR fragment, and IRESF2 and IRESR2 primer set (*HindIII* RE sequences included at both forward and reverse primer), to generate 5' UTR 2 (**Table 1**). Both 5' UTR and 5' UTR2 fragments were digested with *NheI* and *HindIII* RE, respectively. Concurrently the VP1/EGFP and VP1/pVAX was linearized using *NheI* and *HindIII* RE, respectively. The 5' UTR fragment was ligated into linearized VP1/EGFP, while 5' UTR2 fragment was ligated into linearized VP1/pVAX, both ligated at the 5' proximal end of VP1 gene region. The plasmids consisting of 5' UTR and VP1 gene regions were designated as 5' UTR-VP1/EGFP and 5' UTR-VP1/pVAX, respectively. All plasmids were transformed into competent Top10F[®] *E.coli* (Invitrogen, USA), grown and prepared using Endotoxin-free Plasmid Maxi Kit (Qiagen, Germany). The integrity of all the DNA vaccine constructs was checked by DNA sequencing.

Table 1. Oligonucleotide primers used for amplification of EV71 5' UTR and VP1 gene regions. The sequences underlined represent the sequence for the restriction endonucleases. The bold letters represent the codon inserted in the sequence. The underlined and bold sequences represent the inserted start codon.

Primer	Nucleotide Sequence (5' to 3')	Polarity
EVR944	KGCCATYTCAGTRAAGATRTCY	antisense
IRESF	<u>GCTAGC GATCCT</u> AAAAACAGCTGGGGGGTGGTACC <i>NheI BamHI</i>	sense
IRESR	<u>GCTAGCGTTT</u> GACTGTATTGAGGGTTAATATAAAAGT <i>NheI</i>	antisense
IRESF2	<u>AAGCTT GATCCT</u> AAAAACAGCTGGGGGGTGGTACC <i>HindIII BamHI</i>	sense
IRESR2	<u>AAGCTTGT</u> TTGACTGTATTGAGGGTTAATATAAAAGT <i>HindIII</i>	antisense
VP1F	<u>CAGGCTAGC ATG</u> GGAGATAGGGTGGCAGATGTGA TC GAGAGC <i>NheI</i>	sense
VP1R	GGTGGATCCCAAAGGGTAGTAATGGCAGTACGACTAGTGCCGGT <i>BamHI</i>	antisense
VP1 HindF	<u>CGAAGCTT</u> ATGGGAGATAGGGTGGCAGATGTG <i>HindIII</i>	sense

2.3. In Vitro Expression

Protein expression from the DNA vaccine constructs (5' UTR-VP1/EGFP, VP1/EGFP, 5' UTR-VP1/pVAX and VP1/pVAX) was verified by transfecting Vero cells using Tfx™-20 transfection reagent (Promega, USA). At 48 hours post-transfection, cells transfected with pEGFP-N1 and pVAX-1 harboring gene regions were fixed with 4% paraformaldehyde followed by permeabilization using 1% Nonidet P-40 (NP-40). Cells transfected with pEGFP-N1 consisting of the VP1 and 5' UTR-VP1 were counterstained with propidium iodide, while cells transfected with constructs cloned into pVAX-1 expression vector were probed with EV71 VP1 specific monoclonal antibody (Chemicon International, USA). Cells transfected with pEGFP-N1 vector backbone and EV71 RNA (full length genomic RNA) were included as positive controls for the transfection. Expression of constructs cloned into pEGFP-N1 was detected by observing the fluorescent EGFP protein under a microscope with ultraviolet (UV) light source, while cells expressing the recombinant proteins from DNA vaccine constructs with pVAX-1 backbone were detected by immunoperoxidase staining and viewed under a light microscope. The numbers of fluorescent and immunoperoxidase-stained cells were determined. The percentage of cells expressing the recombinant proteins was determined as described by Shafee and AbuBakar [40].

2.4. Mice Inoculation

All studies involving the use of mice received Institutional Research Board approval (No: MP/10/04/2007/SAB(R)). Groups of female BALB/c mice were inoculated twice intramuscularly (IM) with the DNA vaccine constructs (100 µg) at 2-week intervals. Mice sera were collected by tail vein bleeding prior to any inoculation. All sera were kept at -20°C until needed. Group of mice inoculated with only the expression vector pEGFP-N1 or pVAX-1 (100 µg) and heat-inactivated EV71 (10 µg) were

used as controls. Five weeks following the final inoculation, mice were challenged intraperitoneally with 100 TCID₅₀ of live EV71 virus (EV71/9/97/SHA89). Sera were collected at selected intervals post-challenge.

2.5. Assessment of IgG Responses

Antibody responses to the DNA vaccine constructs were determined by performing indirect ELISA using EV71-infected Vero cell lysate as antigen. Initially, ELISA plate was coated with the EV71 antigen diluted in carbonated buffer, pH 9.6, for 16 hours at 4°C. Following the incubation, the plate was washed with 1X PBS containing 0.05% Tween-20 (PBS-T). Mice sera from the same treatment group were pooled, diluted (1:50) and added to the antigen-coated 96-well plate (100 µl). The plates were incubated for 2 hours followed by washing with PBS-T. Following the washing, alkaline phosphatase-conjugated anti-mouse IgG (Promega, USA) was added and incubated at 37°C for 1 hour. After the incubation, phosphatase substrate was added and the optical density (O.D.) was read at 405nm with 650nm reference wavelength. The O.D. values were reported after they were normalized against the background O.D. value (value obtained from pre-immunized sera).

2.6. Enzyme-Linked Immunosorbent-Based Microneutralization Assay

Microneutralization assay was performed using sera of mice harvested at week 5 after immunization (referred as day -1), day 5 and day 10 after inoculation with live EV71 (strain EV71/9/97/SHA89). The neutralization test was performed using ELISA-based microneutralization assay [41]. Briefly, 10⁸ FFU/ml of virus in 100 µl was added to 2-fold diluted pooled mice sera (according to their treatment group) in equal volume and incubated for 2 hours at 37°C. The virus-serum mixture was transferred to Vero cells plated in 96-well plates. After 3 days of incubation, the supernatant was removed and cells were

fixed with 4% paraformaldehyde followed by incubation at 4°C for 30 minutes or overnight. The cells were then washed with PBS-T, permeabilized for 15 minutes at room temperature with 1% NP-40, sequentially added with rabbit-raised EV71 hyperimmune sera followed by addition of alkaline phosphatase-conjugated anti-rabbit IgG (Chemicon, USA). Alkaline phosphatase substrate, *p*-nitrophenyl-phosphate (PNP; KPL, USA) was then added. The optical density (O.D.) was read at 405 nm wavelength with 630 nm reference wavelength and the neutralization titer was calculated according to the method of Vorndam and Beltran [41].

2.7. Statistical Analyses

Where appropriate data from multiple datasets were expressed as the mean \pm standard error mean (S.E.M). Data from the *in vitro* recombinant gene expression and analyses of the immune responses were transformed to log₁₀ value prior to analysis using paired t-test with Prism 4 software (GraphPad Software, USA). Differences between groups were considered significant when $p < 0.05$.

3. Results

3.1. Construction of DNA Vaccine Constructs

The presence of nucleotide sequence consisting of the EV71 IRES and VP1 gene region within the DNA vaccine constructs were verified by PCR amplification followed by DNA sequencing. Amplification of DNA fragment of ~1600 base pair (bp) was obtained from the bacterial transformants harboring the 5' UTR-VP1/EGFP (Figure 1(A)) and 5' UTR-VP1/pVAX recombinant plasmid (Figure 1(B)). DNA fragment of ~900 bp was obtained from bacterial transformants harboring the VP1/EGFP (Figure 1(A)) and VP1/pVAX (Figure 1(B)). No mutation or frameshifts was observed within the 5' UTR and VP1 sequences in all DNA vaccine constructs in comparison to the original sequence (data not shown). The schematic of the DNA vaccine constructs were shown in Figure 2.

3.2. In Vitro Expression

Successful expression of constructs cloned into pEGFP-N1 (5' UTR-VP1/EGFP and VP1/EGFP) in Vero cells were confirmed by the detection of fluorescent EGFP under a microscope with ultraviolet (UV) light source (Figure 3(i)). The expression of constructs cloned into pVAX-1 (5' UTR-VP1/pVAX and VP1/pVAX) were determined by observing the immunoperoxidase stained cells under light microscope, which appeared brownish following the staining (Figure 3(ii)). The percentage of cell transfected with 5' UTR-VP1/EGFP was significantly

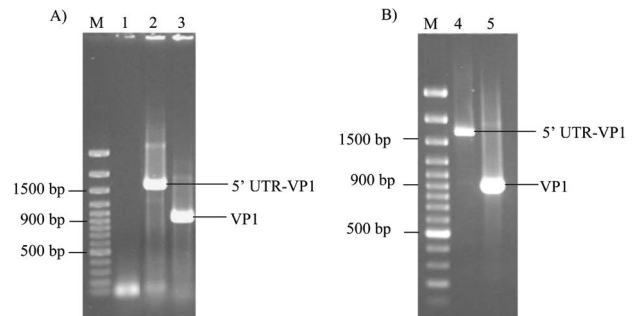


Figure 1. Amplification of 5' UTR-VP1 and VP1 gene regions cloned into pEGFP-N1 and pVAX-1 expression vectors. (A) Lane 1 denotes amplification from bacterial transformant carrying the pEGFP-N1 vector without 5' UTR-VP1 or VP1 gene region insert. Lanes 2 and 3 indicate the amplified 5' UTR-VP1 and VP1 gene regions from 5' UTR-VP1/EGFP and VP1/EGFP constructs, respectively. (B) Lanes 4 and 5 denote amplified 5' UTR-VP1 and VP1 fragments from constructs cloned into pVAX-1, respectively. Lane M denotes molecular marker.

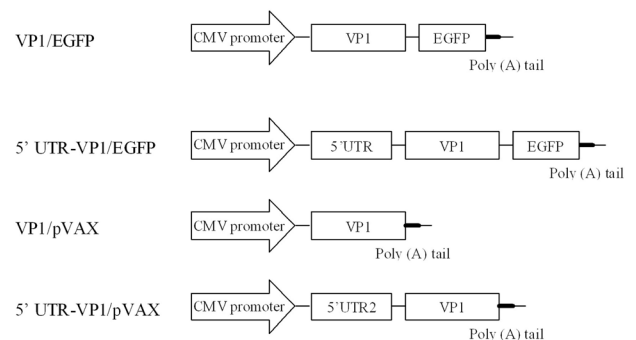


Figure 2. Schematic diagrams of the DNA vaccine constructs. For the DNA vaccine constructs using the pEGFP-N1, the enhanced green fluorescent protein (EGFP) gene was fused to the 3' proximal end of the VP1 gene region in the construct cloned into pEGFP-N1. The CMV promoter represents the human cytomegalovirus (CMV) immediate early gene promoter, 5' UTR indicates the 5' untranslated region and VP1 represents the viral protein 1 gene region. The constructs without 5' UTR were expressed by the conventional cap-dependent mechanism, while the constructs with the 5' UTR were expressed via the cap-independent mechanism using the IRES-mediated translation.

higher in comparison to VP1/EGFP at all four plasmid concentrations; 0.25 $\mu\text{g/ml}$ ($p = 0.0137$), 0.5 $\mu\text{g/ml}$ ($p = 0.0488$), 0.75 $\mu\text{g/ml}$ ($p = 0.0098$) and 1.0 $\mu\text{g/ml}$ ($p = 0.0039$) (Figure 4(i)). Cells transfected with DNA vaccine constructs cloned into pVAX-1 showed higher percentage (20% - 40%) of cells expressing the recombinant proteins in comparison to those cloned into pEGFP-N1. The 5'UTR-VP1/pVAX transfected cells showed higher percentage of transfected cells in comparison to that transfected with VP1/pVAX, however, the differences in the percentage of cells expressing the recombinant pro-

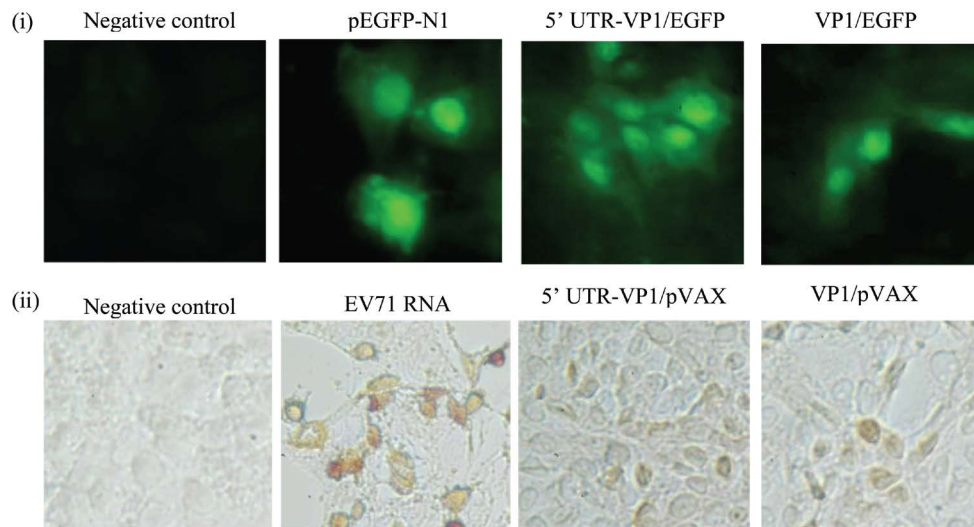


Figure 3. Expression of recombinant proteins from DNA vaccine constructs cloned into pEGFP-N1 vector and pVAX-1 expression vectors. Vero cells expressing the recombinant fusion protein from DNA vaccine constructs cloned into pEGFP-N1 (i) and pVAX-1 (ii) expression vectors. The DNA vaccine constructs (1.0 $\mu\text{g}/\text{ml}$) were transfected into Vero cells. Cells were fixed and viewed at 48 hours post-transfection. Cells transfected with pEGFP-N1 vector backbone and EV71 RNA (full length genomic RNA) were included as positive controls. Arrow indicates cells expressing the recombinant protein (in cells transfected with EV71 RNA and constructs cloned in pVAX-1, respectively).

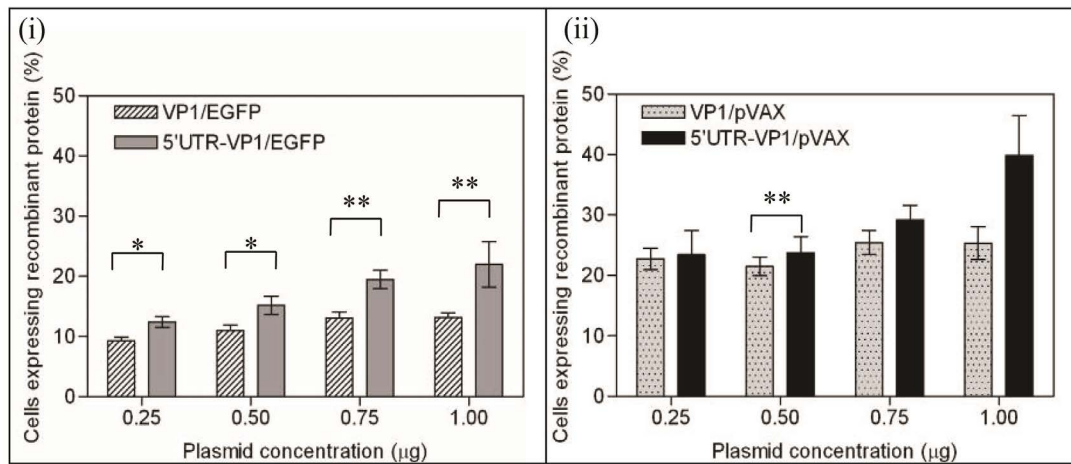


Figure 4. Percentage of cells expressing the EV71 VP1 recombinant protein. The percentage of cells expressing the recombinant protein from the DNA vaccine constructs VP1/EGFP and 5' UTR-VP1/EGFP (i) and VP1/pVAX and 5' UTR-VP1/pVAX (ii) were compared. Cells were transfected with the plasmids 5' UTR-VP1/EGFP, VP1/EGFP, 5' UTR-VP1/pVAX and VP1/pVAX, respectively. The percentages of cells expressing the recombinant protein were calculated based on 10 different transfection experiments. On average 67 cells could be observed per microscopic field. $p < 0.05$ is indicated with * and $p < 0.01$ is indicated with **.

teins were not statistically significant (Figure 4(ii)). The percentage of cells expressing the recombinant proteins increased proportionally to the concentration of transfected plasmid in cells transfected with 5' UTR-VP1/EGFP (12% per μg) and 5' UTR-VP1/pVAX (21% per μg). It is observed that the percentage of cells expressing the recombinant protein did not show linear increment over plasmid DNA concentration in those transfected with DNA constructs without the 5'UTR.

3.3. Immunogenicity of DNA Vaccine Constructs in Mice

The DNA vaccine constructs were used to immunize the BALB/c mice. The IgG responses to the EV71 VP1 in mice inoculated with the DNA vaccine constructs, the vector backbone and heat-inactivated EV71 was compared. At 2 weeks after the first immunization, the IgG levels of mice immunized with the construct 5'UTR-

VP1/EGFP and 5' UTR-VP1/EGFP increased to ~27-fold ($p < 0.001$) and ~19-fold ($p < 0.01$), respectively, in comparison to the group immunized with only pEGFP-N1 (Table 2). The mice group immunized with 5' UTR-VP1/pVAX and VP1/pVAX showed 11-fold ($p < 0.05$) and 19-fold ($p < 0.01$) increased of IgG levels, respectively, in comparison to the group immunized with only pVAX-1. At 4 weeks, no significant increase of IgG levels was obtained following the booster immunization in all mice group (Figure 5). In our study, we showed that the heat-inactivated EV71 stimulated less than 1-fold increase in IgG level in comparison to those immunized with the DNA vaccine constructs ($p > 0.05$), suggesting that the DNA vaccine is equally immunogenic.

3.4. Neutralization of EV71 by Sera from Vaccinated Mice after Challenged with Live EV71

The serum microneutralization test performed using the ELISA-based microneutralization assay showed that at day-1 PI, the neutralizing antibody (NAb) titer in group of mice inoculated with only pEGFP-N1 and pVAX-1, and the group immunized with heat-inactivated EV71 had a baseline NAb titer of <1:20. The group of mice immunized with the DNA vaccine constructs showed higher NAb up to 1:160 (Figure 6). Groups of mice immunized with VP1/EGFP and VP1/pVAX showed NAb titer of 1:40, whereas mice immunized with 5' UTR-VP1/EGFP and 5' UTR-VP1/pVAX showed NAb titer of 1:160. At day 5 PI, the mice immunized with 5' UTR-VP1/EGFP and 5' UTR-VP1/pVAX showed NAb titer of 1:640, ~7-fold higher than the negative control groups and the group of mice immunized with heat-inactivated EV71 (Figure 6). The NAb titer elicited in groups of mice immunized with VP1/EGFP and VP1/pVAX were

Table 2. Fold increases of the immune response in vaccinated mice were compared to the control mice group. Mice sera were collected 2 weeks after each inoculation with constructed DNA vaccine. Antibody level was determined by indirect enzyme-linked immunosorbent assay (ELISA) in 4 independent assays with triplicate for each assay.

VACCINE/ IMMUNOGEN	Antibody response (fold increase)	
	2 WEEKS (post-immunization)	4 WEEKS (post-immunization)
Inactivated EV71	35 [*] 19 [#]	9 [*] 8 [#]
pEGFP-N1	N.A. [*]	N.A. [*]
VP1/EGFP	19 [*]	5 [*]
5' UTR-VP1/EGFP	27 [*]	7 [*]
pVAX-1	N.A. [#]	N.A. [#]
VP1/pVAX	19 [#]	10 [#]
5' UTR-VP1/pVAX	11 [#]	5 [#]

NA: not applicable, ^{*}in comparison to the mice group vaccinated with pEGFP-N1, [#]in comparison to the mice group vaccinated with pVAX-1.

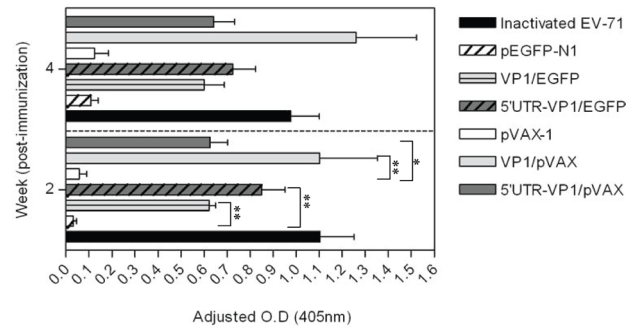


Figure 5. IgG antibody responses induced by immunization with DNA vaccine constructs. Groups of mice were immunized either with VP1/EGFP, 5' UTR-VP1/EGFP, VP1/pVAX or 5' UTR-VP1/pVAX on days 0 and 14 by intramuscular injection without any adjuvant. Groups of mice immunized with pEGFP-N1, pVAX-1 or heat-inactivated EV71 were used as controls. Sera were collected after 2 weeks of each immunization. ELISA was performed using EV71-infected cell lysate as antigen. The O.D values were normalized against the O.D values of the pre-immunized sera. Arrow indicates the time of immunization and booster with DNA vaccine constructs. $p < 0.05$ is indicated with * and $p < 0.01$ is indicated with ** in comparison to the negative control groups (pEGFP-N1 or pVAX-1, respectively).

1:320 and 1:160, respectively. At day 10 PI, no significant increase in NAb were observed in mice immunized with pVAX-1 and pEGFP-N1 when compared to those immunized with the DNA vaccine constructs. The NAb titers decreased in all immunized mice groups, except in group of mice immunized with heat-inactivated EV71, which showed ~1-fold increase in the neutralizing titer. The NAb at day 10 PI were at 1:320 for mice immunized with 5' UTR-VP1/EGFP and 5' UTR-VP1/pVAX in comparison to the NAb titer for other groups of immunized mice with NAb titer of <1:40 (Figure 6).

4. Discussion

In the present study, we aimed to improve the EV71 VP1 DNA-based vaccine. The EV71 5' untranslated region (UTR) containing the IRES was inserted into previously described DNA-based vaccine constructs [23]. Expression from the constructs was verified *in vitro* by transfection in Vero cells. Cells expressing the recombinant protein from the pEGFP-N1 vector showed diffuse fluorescence throughout the cytoplasm and nucleus suggesting successful expression of the recombinant protein. The intranuclear localization of the VP1-EGFP protein observed in this study is consistent with other earlier study, which suggests passive entry of the highly soluble protein into the nucleus [42].

In this study, the 5' UTR-VP1 and VP1 gene regions were cloned upstream of the cytomegalovirus immediate early (CMV IE) gene promoter in both pEGFP-N1 and

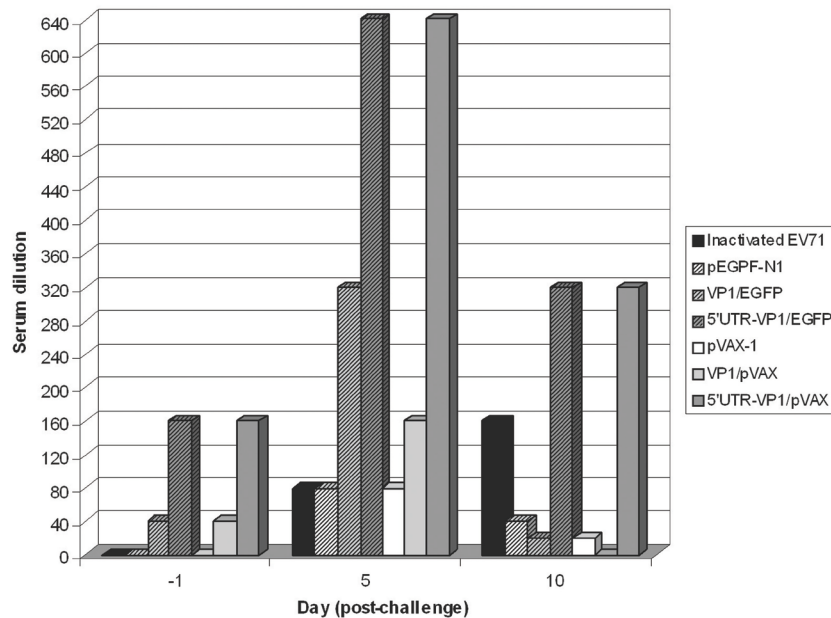


Figure 6. Neutralizing antibody titers of vaccinated mice after challenged with live EV71. Neutralizing antibody titers of mice groups immunized with the DNA vaccine constructs and heat-inactivated EV71 were evaluated at day -1, days 5 and 10 after the inoculation with live EV71.

pVAX-1 vector backbone. Transcription of the construct consisting of the 5' UTR-VP1 gene region from the CMV IE gene promoter resulted in mRNA containing a highly structured (hairpin-like structure) 5' region upstream of the VP1 gene region. The presence of the hairpin structure has been reported to block the cap-dependent ribosome scanning, which led to the inhibition of cap-dependant translation [43]. The IRES-mediated cap-independent translation effects may be observed in cells transfected with DNA constructs with 5' UTR, where the percent of cells expressing the recombinant proteins showed proportionate increment over the concentration of transfected DNA constructs. Similar effect was not observed in the cells transfected with constructs without the 5' UTR. This could be caused by the low efficiency of translation mediated through the 5'-cap due to limited eukaryotic initiation factors (eIFs) or elongation factors (EF) required for cap-dependent. In contrast, the IRES-mediated cap-independent translation was not affected by the level of eIFs or EF, thus that limitation was not observed in cells transfected with constructs carrying the 5' UTR [44,45]. Our results are in agreement with the report by Borman *et al.* [46] which described a linear relationship between the concentration of transfected plasmid DNA and translation efficiency in picornaviral IRES-driven expression.

In vivo evaluation showed that mice vaccinated with DNA-based vaccine construct carrying the 5' UTR-VP1 and VP1 genes successfully elicited higher IgG level compared to the mice immunized with the vector back-

bone. However, the booster shot given 2 weeks after the initial immunization did not lead to further increase of the IgG levels in any of the mice group. This is consistent with previous studies that reported the second booster shot could actually lead to the decline of the IgG levels [23,47]. The decline in IgG level could be due to the shift in the immune response towards cellular immune responses [26,47]. It is noted that the immune responses profile elicited by the 5' UTR-VP1/EGFP and 5' UTR-VP1/pVAX constructs were different, although both constructs carrying the 5' UTR. This could be contributed by the difference in plasmid backbone used. Unlike 5' UTR-VP1/EGFP, no enhancement in the IgG level in mice immunized with 5' UTR-VP1/pVAX at 2 weeks following the booster compared to those immunized with VP1/pVAX. The reason for this observation is unclear, however, possible explanation could be that the 5' UTR-VP1/pVAX is better in inducing memory rather than inducing effector responses, which is reflected by high elicitation of IgG following the virus challenge (data not shown).

Elevation of antibody levels following immunization suggests successful stimulation of the host humoral immune response. However, it does not necessarily reflect the efficacy in providing protective responses [11]. The protective antibody response against enteroviruses infections is characterized by the presence of neutralizing antibodies [48]. In this study, the neutralizing antibody titer in challenged mice was measured using the ELISA-based microneutralization assay. This method is more accurate

in comparison to the conventional neutralizing titer determination, since the cut-off point and the presence of neutralizing antibody titer were measured optically [41]. We noted that after challenged with live virus, groups of mice vaccinated with DNA vaccine constructs containing the 5' UTR showed higher neutralizing antibody titer in comparison to the other mice groups, including those immunized with heat-inactivated EV71. Immunization with heat-inactivation did not lead to high elicitation of neutralizing antibody titer perhaps because no adjuvant was used in the present study. Adjuvant was not included in the vaccination regime simply because our main interest is to observe the ability of incorporated EV71 IRES within DNA-based vaccine construct in eliciting immune response. It is believed that combination of the constructed 5' UTR-VP1 DNA vaccine with suitable adjuvant, such as ISCOMATRIX™ adjuvant using DNA prime-protein boost vaccination strategy could effectively generate protective immunity against EV71, comprising both humoral and cell-mediated immune responses [49].

It is noted that the mice immunized with heat-inactivated EV71, VP1/EGFP and VP1/pVAX showed slight increment in the neutralizing antibody titer at day 5 PI. The increase is most probably due to the presence of EV71 specific IgM elicited as a primary immune response to the challenge with live EV71, since microneutralization assay is unable to discriminate between the primary from secondary immune responses, unless the IgM was inactivated prior to the microneutralization assay. The high IgG responses in mice immunized with heat-inactivated EV71, VP1/EGFP and VP1/pVAX observed in this study were not corroborated with high neutralizing antibody titer. This could be that the immunogen possessed epitopes that elicited a broad anti-EV71 antibody response that is non-neutralizing [50,51].

In this study, the evaluation of immune responses elicited by the DNA vaccine constructs was determined by *in vitro* system, which may have overlooked other pathways of immune responses that could also be enhanced, such as activation of the complement cascades and antibody-dependent cytotoxicity [22]. With the availability of transgenic mouse model that demonstrate similar pathological features of EV71 infection as in human, the *in vivo* evaluation of protection confers by the constructed vaccine would be possible, which will give better reflection of elicited immune responses [52]. The exact mechanism of how the presence of the IRES sequence helped to improve the efficacy of the DNA vaccine is presently unknown.

In conclusion, improved efficiency of VP1 expression in the constructs with 5' UTR was obtained. The higher efficiency of translation was mediated through a cap-independent mechanism by the IRES could result in an

over abundant presence of the recombinant protein per cell. This in turn could improve the presentation of specific EV71 VP1 neutralizing epitope either through presentation via the MHC molecules or direct stimulation of the B-cells, however, further subsequent studies would be required to address these possibilities.

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